# **Pinch Analysis with crisscross optimization prior to design**

## **Case 10 - Example from Wu Xiao et al.**

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Case 10 is a larger scale example with 10 hot streams, 10 cold streams, one hot and one cold utility. It was originally set up by Wu Xiao et al. for illustrating a new procedure based on Stream Pseudo Temperatures. Wu Xiao developed a network using multi-stream heat exchangers. The example was then used by Xing Luo and Georg Fieg et al. for illustrating the capabilities of a hybrid genetic algorithm for synthesis of heat exchanger networks. By its size as well as by the characteristics of the stream data, it is an example that is even much more challenging than example number 5 from Björk and Pettersson.

Stream data are given in Table 10.1.

Trade-off between energy and capital is shown in Figure 10.1 where classic analysis is labelled as %Classic+ (one system above the pinch and one system below the pinch). If one single system is assumed, then the total number of units is lower than with a pinch based design (21 instead of 36), leading to much lower cost, as shown in the curve labelled as  $\%$  w/o Pinch+

The impact of the difference in heat transfer values on the required surface area is significant (Figure 10.2) and offers ample opportunities for crisscross. Whilst classic trade-off based on one single system would suggest a cost optimum of 2060 kEUR at 10.6 MW, crisscross analysis assuming one single system shows an optimum of only 1763 kEUR for a heating load of 8.75 MW. With crisscross analysis, the energy target is 20% lower and the cost target is 14% lower. As demonstrated by the various such networks that were developed, that much lower and also much more challenging cost target is also achievable.

Next to the shift values used by Wu Xiao, also optimum shift values using crisscross for a heat input of 8.75 MW are shown in Table 10.1. The very large shift on hot stream H6 will cause all heat of that stream being dumped into the cooling water; that also appears to be the best solution although classic pinch analysis would have suggested to integrating 75% of that heat load with cold streams. The effect of shifting H6 on required surface area is impressive and enables the total required surface area to be reduced by 30% (Figure 10.3).

The problem was solved in a stepwise approach. After each step a new analysis was run and the resulting grid was reduced to 4 integration bands. Then, out of the many alternatives, a new match was chosen that would fit in the temperature profile without violating pinch rules.

As shown in Figure 10.4 there is no sharp pinch and, consequently, classic pinch design rules are not appropriate. In order to avoid stream splits at the pinch, matches are sought that spread across the

pinch without giving away too much driving force. For minimizing the number of units, streams with the smallest heat loads preferably are satisfied with one single heat exchanger.

The above rules lead to the following steps:

- H6 on cooler
- H5 on C6 and C7 plus heater of 800 kW on C6
- H3 on C9 (both H1 and H3 are candidates; H1, however, would generate a pinch penalty of 869 kW whilst the penalty with H3 is only 299 kW)
- H4 on C10 plus cooler of 1050 kW
- H8 plus heater of 1350 kW on C3.

The original composite curves (shifted) and those of the remaining problem are shown in Figure 10.4 and Figure 10.5. The remaining data set shows a more pronounced pinch at the cold stream temperature of 120 °C. The remaining grid, reduced to 4 integration bands, is shown in Table 10.2.

Notwithstanding elimination of 10 out of the 20 streams in a first phase, network design for the integration bands 2 and 3 is still challenging. In both bands several networks are possible, each with the minimum area and minimum cost, or with hardly different area and cost. In the course of the study, 8 networks were identified in band 2 and 6 networks in band 3, leading to 48 possible combinations and it is very likely that the number of potentially interesting networks is even (much) higher.

As can be seen in Table 10.2 the possibility for a cooler on H1 was still maintained. Such cooler, however, is not necessarily required for making a feasible network and there are further options such as moving the cooling load to the cooler on stream H7 or to the cooler on stream H10 or spreading the load on both the coolers of H7 and H10. Each option will generate various possible initial networks for band 3 and each of them can be combined with any option for band 2 leading to a few hundred potentially interesting combinations in total. Keeping in mind that the complexity of the problem was already reduced drastically it would seem wise not to tackle this kind of problems without a minimum of insight in network characteristics.

The first objective used in this approach is minimum area simultaneously with minimum number of units in each integration band. This can be analyzed using loop optimization or, and even much faster, with LP programming. Although the Simplex method might fail, appropriate more sophisticated algorithms will do. The next objective is to adjust the minimum area network further into a minimum cost network by shifting loads from one band into another and creating independent systems within each band. If, as is mostly the case, the cost relation is not linear then LP cannot be used for this step. In cases with sub-optima like this one, even NLP might fail.

A final optimization strategy can now be applied, consisting in exploring a stream with 2 or more than 2 heat exchangers and swapping one load on the opposite side with the load on another stream on the opposite side in order to get 2 heat exchangers in series on the stream explored, allowing the merger of these into one single heat exchanger.

The results of the study on this example are summarized in Table 10.3 and compared with published results from other authors. Several networks could be developed with only one stream split. Eliminating the stream split would generate only a limited area penalty, the cost of which would be overcompensated by avoiding the split (the cost of the split was not considered explicitly) and, therefore, the networks with no split would be the more attractive ones.

Energy consumption of the best networks is very close to the targeted optimum from the trade-off analysis with crisscross optimization and the total cost is even lower (cfr. Figure 10.1).

For this example, due to the specifics of the stream data (various streams with same U, same mcp, same temperatures) a large number of networks can be developed with near optimum cost.

The best network with 22 units and 1 split is shown in Figure 10.6, the one without splits is shown in Figure 10.7. The networks without splits with 23, respectively 21 units are shown in Figure 10.8 and Figure 10.9; the networks with splits can easily be derived from the networks without splits by splitting hot stream H5.

The networks developed following the above procedure have lower cost and have a simpler structure than those obtained by other authors.











**Figure 10.2**





**Figure 10.4**



**Figure 10.5**





### **Table 10.3**









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